# CODE OF CONDUCT MEN'S AND WOMEN'S ITF WORLD TENNIS TOUR 2023

Please note that where the rules apply to the Women's ITF World Tennis Tour Regulations only, the text is in Italics.

Amendments to the Code of Conduct made for the beginning of 2023 are <u>underlined</u>, amendments to the Code of Conduct made Mid-Year are <u>underlined</u> in blue.

#### **ARTICLE I: GENERAL**

References in this Code of Conduct ("Code") to the "ITF Executive Director" shall hereafter mean the ITF Senior Executive Director of Tours and Player Pathway.

References in this Code to the "Committee" shall hereafter mean the ITF World Tennis Tour Committee.

For the purposes of this Code "Related Person" is defined as any coach, trainer, therapist, physician, management representative, agent, family member, Tournament guest, business associate or other affiliate or associate of any player, or any other person who receives accreditation at a Men's or Women's ITF World Tennis Tour Tournament at the request of the player or any other Related Person.

For the purposes of Article V.1, and Article XIII of this Code, "Covered Persons" is defined as any player, and any player's coach, trainer, manager, agent, medical or para-medical personnel and/or family member, tournament guest, or other similar associate of any player, and any tournament personnel, such as an official, tournament director, staff, volunteer, sponsor, health care provider, ITF staff member and members of the media that accredited to attend an ITF World Tennis Tour tournament.

#### A. PURPOSE

The International Tennis Federation promulgates this Code of Conduct ("Code") in order to maintain fair and reasonable standards of conduct by players, Related Persons, Covered Persons and the organisers of Men's and Women's ITF World Tennis Tour tournaments, and to protect their respective rights, the rights of the public and the integrity of the Sport of Tennis. All references to the International Tennis Federation or the ITF shall mean the ITF Limited.

## B. EXCLUSIVE APPLICABILITY

This <u>2023</u> ITF World Tennis Tour Code of Conduct shall be the exclusive basis for disciplinary action against any player, Related Person, Covered Persons (for the purposes of Article V-1 and the Article XIII) or tournament in ITF sanctioned ITF World Tennis Tour Tournaments, except to the extent that disciplinary jurisdiction is established in relation to such Tournaments under (I) the Tennis Anti-Doping Programme; (ii) the Anti-Corruption Program; and/or (iii) the ITF Welfare Policy.

## C. FINES

All monetary fines set forth in the Code are in US Dollars.

A player who has accumulated \$500 or more in unpaid fines for violations of the Code of Conduct shall not be allowed to enter and play in any ITF tournament until such time as all fines have been paid.

A player who has outstanding fines at the end of the calendar year shall not be allowed to enter any Men's or Women's ITF World Tennis Tour Tournaments in the following calendar year until such time as all fines have been paid.

Players who are fined for major offences must pay all fines prior to their next event.

#### D. ITF SUPERVISOR

The approved ITF Supervisor for each Men's or Women's ITF World Tennis Tour Tournament shall be the final authority for the interpretation of these Tournament Regulations, Code of Conduct and the Rules of Tennis as to all matters arising that require immediate resolution at the tournament site.

#### **ARTICLE II: MEN'S ENTRY OFFENCES**

#### A. APPLICABILITY

This Article shall only apply to Men's ITF World Tennis Tour Tournaments.

#### B. ENTRY OFFENCES

## 1. Late Withdrawal

Any withdrawal from a player accepted into the Main Draw or Qualifying of Singles or Advance Entry for Doubles that occurs after the Withdrawal Deadline shall be considered a Late Withdrawal and will be penalised, except in the below circumstances:

- a) a player who is on the Qualifying Acceptance List but who is still playing in another Men's ITF World Tennis Tour Tournament, ITF World Tennis Tour Junior tournament or ATP tournament at the time of the Qualifying Sign-In Deadline, provided the player withdraws before the Qualifying Sign-In closes;
- a player who is on the Qualifying Acceptance List but who is still playing in another "qualified" Men's ITF World Tennis Tour or ATP tournament and who applies for a Special Exempt position, but where such Special Exempt position is not available for the player;
- a player who qualifies for entry or receives a Wild Card into the Qualifying or Main Draw of a Grand Slam tournament, provided the player withdraws from the ITF Tournament before the Qualifying Sign-In Deadline;
- d) a player who is nominated to represent his country in an ITF Team Competition, provided the player withdraws before the Qualifying Sign-In Deadline;
- e) a player who is on the Main Draw or Qualifying Acceptance List of an ITF World Tennis Tour Tournament but is accepted into an ATP Tour or Challenger main draw or qualifying by 10:00 (EST) on the Friday prior to the following weeks start date will not be penalised, provided the player was withdrawn at the "ATP Freeze Deadline".

f) The provisions of Article II, Rule B.4, Playing Another Event / One Tournament per Week apply.

Players who meet one of the exceptions for Late Withdrawal offences written above should email Mens@itftennis.com and provide them the appropriate documentation so the Late Withdrawal penalty can be removed from the player's Code of Conduct record.

Players who have been subject to Automatic Withdrawals will not be fined.

A player's first three (3) Late Withdrawal Singles offences and first three (3) Late Withdrawal Doubles offences within a calendar year are automatically excused and the fines cancelled with a "withdrawal amnesty", provided the withdrawal\_is submitted online through the player's IPIN account:

- (i) For Singles, prior to the Qualifying Sign-In Deadline ("Singles Amnesty"); and
- (ii) For Doubles, prior to the Doubles On-site Sign-in Deadline ("Doubles Amnesty").

The excused Withdrawal is valid for two consecutive Tournament weeks provided the player withdraws in accordance with the process set out above.

If a player withdraws from both Singles and Doubles at the same Tournament, the player may use a Singles Amnesty to withdraw himself from both the Singles and Doubles event of that Tournament. A Doubles Amnesty may only be used for Doubles withdrawals and not Singles withdrawals.

Violation of this Section shall subject a player to a fine up to \$500 as further set out in the Fines Guidelines.

## 2. No Show

A player will have Committed a No Show Offence if:

- a) He is accepted into the Qualifying and fails to sign in by the Qualifying Sign-In Deadline (in accordance with Section V.K Sign-In of the Men's ITF World Tennis Tour Regulations 2020) without validly withdrawing from the Tournament in advance of the Qualifying Sign-In Deadline (as set out in Article II.B.1 above); or
- b) He is accepted into the Main Draw or has been granted a Wild Card (Main Draw or Qualifying) and fails to arrive on-site for his first match in the Tournament without withdrawing from the Tournament in advance of his first-round match (as set out in Article II.B.1 above).
- c) A player or Doubles team accepted into the Doubles Draw (Advance Entry or On-Site Entry) fails to arrive On-Site for the team's first match in the Tournament without withdrawing from the Tournament in advance of his/their first-round match (as set out in Article II.B.1 above).

The ITF Supervisor may waive the No Show Offence for a player/team who arrives on-site after the scheduled commencement time for his/their first match, and penalise him/them for the On-Site Offence of Punctuality instead.

A player that commits a No-Show violation may not use an on-site medical certificate to appeal a penalty.

Violation of this Section shall subject a player to a fine up to \$500 as further set out in the Fines Guidelines.

In circumstances that are flagrant and particularly injurious to the success of the Men's ITF World Tennis Tour Tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour".

## 3. On-Site Medical Withdrawal

- a. If a player is forced to retire or withdraw during a Tournament for medical reasons, the ITF Medical Certification form must be completed by the on-site Tournament Doctor / Sports Physiotherapist verifying that the player is unfit to continue playing in the current Tournament. Violation of this section shall subject a player to a fine of up to \$500.
- b. A player that has endured a medical condition during the Tournament Week, but who completes their Tournament matches, may obtain a Medical Certificate from the Tournament Doctor/Sports Physiotherapist that can be used to withdraw from the following week's tournament without penalty. The player must obtain the Medical Certificate within 24 hours of completing their final match.

If the player wishes to use the Medical Certificate to withdraw from the following week's tournament, then the player must inform the ITF and the ITF Supervisor (if after the Freeze deadline) no more than 48 hours after obtaining the Medical Certificate or before the Qualifying Sign-In, whichever is sooner.

Players who complete their final match of the tournament after the Qualifying Sign-In of the following week's Tournament must obtain a Medical Certificate and inform the ITF Supervisor before leaving the site if they wish to withdraw from the following week's Tournament.

Violation of this section shall subject a player to a fine of up to \$500 as further set out in the Fines Guidelines.

- c. A valid ITF Medical Certificate will excuse a Late Withdrawal penalty for any subsequent Tournament until the player next competes in any tennis event, provided that a withdrawal for each tournament is submitted <u>online through the player's IPIN account</u> prior to the relevant Qualifying Sign-In Deadline for Singles or On-Site Doubles Sign-In Deadline for Doubles. A player must email the ITF Medical Certificate to the ITF (mens@itftennis.com) for each subsequent tournament that the player wishes to use the medical certificate.
- d. A player who withdraws from a tournament after the Qualifying Sign-In Deadline will be excused a Late Withdrawal penalty provided:
  - i. the player is still competing in the previous week's tournament at or after the time of the Qualifying Sign-In Deadline, is thereafter forced to withdraw/retire, is examined by that tournament's doctor and is determined to be unfit to compete at the following week's tournament; or
  - ii. the player is on-site at the tournament from which the player will withdraw when the withdrawal occurs and is determined to be unfit to compete by the tournament's doctor.

For the purpose of this rule, the player must withdraw before the start of his first match and the ITF Medical Certification form must be completed and submitted to the ITF no later than the last day of the tournament.

## 4. Playing Another Event / One Tournament Per Week

a. No player who has entered and been accepted into the Singles Qualifying, Singles Main Draw or Advance Entry Doubles Main Draw of a Men's ITF World Tennis Tour Tournament after the Withdrawal Deadline shall play in any other tennis event during the period of such tournament except as stated below.

Participation by a Committed player in an alternative tournament is permitted in the following specific circumstances and provided an official withdrawal for the tournament he was originally Committed to, has been submitted prior to its Qualifying Sign-In deadline for Singles (see section V. C. Entry and Withdrawal Deadlines and F. Freeze Deadline in the Men's ITF World Tennis Tour Regulations) or prior to the Doubles On-Site Sign-in Deadline for Doubles (see Section VI. Doubles Entries and Withdrawal):

- i. A player is nominated to represent his country in an ITF Team competition;
- **ii.** A player qualifies for entry or is nominated to receive a Wild Card into the Qualifying or Main Draw of a Grand Slam tournament;
- **iii.** A player accepted into Singles Qualifying of a Men's ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Singles Main Draw of another Professional tournament;
- iv. A player accepted into Singles Qualifying, Singles Main Draw, or Advance Entry Doubles Main Draw of a Men's ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Singles Qualifying or Singles Main Draw of another ITF World Tennis Tour tournament in a higher prize money category;
- v. A player accepted into the Singles <u>Qualifying</u> or Main Draw or Advance Entry Doubles of a Men's ITF World Tennis Tour Tournament is nominated to receive a Singles Wild Card into the <u>Qualifying or</u> Main Draw of a Challenger or ATP Tour event.
- vi. A player accepted into Qualifying or Main Draw of a Men's ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Main Draw or Qualifying of a Juniors ITF World Tennis Tour (Grand Slam, <u>J500</u>, <u>J300</u>) tournament.
- vii. A player accepted into a Junior ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Main Draw or Qualifying of a Men's ITF World Tennis Tour Tournament.
- viii. Both members of a Doubles team accepted as an Advance Entry Main Draw team are nominated to receive a Wild Card as a team into a higher prize money event.
- ix. The player who is not responsible for the late withdrawal of an Advance Entry Doubles Main Draw team may enter Doubles On-site with another partner.
- x. If a player is awarded a Singles Wild Card and the player/team was previously accepted as an Advance Entry Doubles Main Draw team then both the player and his partner may enter onsite at the same tournament or another tournament with the same or different partner.
- **xi.** A player is forced to withdraw from a Qualifying event because he is still Committed to and still participating in a Tournament in the previous week's tournament but is not eligible to apply for, or has been unsuccessful in applying for, a Special Exempt place.

A player not Committed by Advance Entry to a Doubles Main Draw may enter the Doubles event of any other tournament. However, late withdrawals from Singles Main Draw and Qualifying shall be subject to the late withdrawal provisions at Article II.B.1 above.

Violation of this Section shall subject a player to a fine up to \$250 as further set out in the Fines Guidelines in addition to any other fines provided in the Code.

# Playing Another Event Rule amendment Singles

All Singles players will be eligible for three opportunities to benefit from additional flexibility to play up from an ITF World Tennis Tour tournament into an ATP tournament without penalty ("Singles PAE Exemptions"). The Singles PAE Exemption exempts the player from fines that would otherwise be imposed for late withdrawal (Article II.B.1) and for playing another event (Article II.B.4).

## <u>Singles PAE Exemptions shall be granted:</u>

- i. whether or not a player is accepted into the Main Draw or Qualifying Acceptance List of an ATP Tour or ATP Challenger Acceptance List by 10:00 Eastern Standard Time (GMT-5) on the Friday preceding the Tournament Week:
- ii. on three (3) occasions during the calendar year;
- iii. only where the player withdraws from a Men's ITF World Tennis Tour Tournament prior to 10:00 Eastern Standard Time (GMT-5) on the Friday preceding the Tournament Week; and
- iv. where the player has played Singles at the Challenger tournament in the same week as the Men's ITF World Tennis Tour Tournament from which he withdrew.

## **Advance Entry in Doubles**

Teams accepted by Advance Entry into an ITF Doubles Main Draw by the Doubles Freeze Deadline will be eligible for three opportunities to play up from an ITF Men's World Tennis Tour Tournament into an ATP Tour / Challenger Tournament, without penalty "Doubles PAE Exemptions"). The Doubles PAE Exemption exempts both players in the team from fines that would otherwise be imposed for late withdrawal (Article III.B.1) and for playing another event (Article III.B.4).

To qualify for a Doubles PAE Exemption, the team must:

- withdraw from the ITF Men's World Tennis Tour Tournament in accordance with these Regulations before the ITF Men's World Tennis Tour Tournament's Doubles Sign-in Deadline; and
- ii. and have played Doubles at the ATP Tour / ATP Challenger tournament in the same week as the Men's ITF World Tennis Tour Tournament from which they withdrew.

Participation by a player who has played in Qualifying or Main Draw of a Men's ITF World Tennis Tour Tournament in an additional tournament during the same week is only permitted in the following specific circumstances:

i. A player is allowed to play Singles Qualifying of one Professional tournament and Doubles in another tournament played the same week, provided the player has played and been eliminated from one tournament prior to the On-Site Doubles entry deadline in the other tournament and the player is not

- Committed as an Advance Entry for Doubles. A player may not participate in both tournaments at the same time.
- ii. A player who played in the Qualifying of a Men's ITF World Tennis Tour Tournament is allowed to accept a Wild Card into the Main Draw of an ITF World Tennis Tour Junior tournament during the same week provided he lost in the Men's ITF World Tennis Tour Tournament prior to being offered the Wild Card.
- iii. A player is nominated to represent his country in an ITF Team competition, provided the player has already lost in the Men's ITF World Tennis Tour tournament prior to being nominated.
- iv. A player is allowed to participate in an "Other" Tournament (see section II.B. Categories of Tournaments in the Men's ITF World Tennis Tour Regulations) during the same week, provided he:
  - a) notifies the ITF Supervisor and ITF (mens@itftennis.com) of his intention to do so before his first match in the Men's ITF World Tennis Tour Tournament; and
  - b) has played and been eliminated from the Men's ITF World Tennis Tour Tournament; and
  - c) is released by the ITF Supervisor after his last match in the Men's ITF World Tennis Tour Tournament to play in the "Other" Tournament. The ITF Supervisor should send an email to the ITF.

A player who was a No Show or who withdrew or retired from either Singles or Doubles Main Draw in any round may not participate in Singles or Doubles in any "Other" Tournament during the same week.

With the exception of administrative error, players found to have been accepted into two Tournaments in one week after the Withdrawal Deadline should not be allowed into any Tournament. Players found to have competed in another Tournament or in two Tournaments in one week other than as stated above shall be immediately defaulted and shall forfeit all prize money and ITF World Tennis ranking points earned at the Tournament(s) in question (where applicable).

Violation of this section shall result in a fine up to \$1,000 in addition to any other fines provided in the Code.

In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour.

## C. WILD CARDS

No player or Related Person, directly or indirectly, shall offer, give, solicit, receive or accept, or agree to offer, give, solicit, receive or accept anything of value in exchange for a Wild Card. Violation of this section by a player or Related Person shall result in a fine of up to \$5,000.

In circumstances that are flagrant and particularly injurious to the success of a Men's ITF World Tennis Tour Tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour".

## D. DETERMINATION AND PENALTY

The ITF or ITF Supervisor shall make such investigation as is reasonable to determine the facts regarding all entry offences and upon determining that a violation has occurred shall specify the fine and/or other punishment therefore and where possible notify the player.

#### E. PAYMENT OF FINES

All fines levied for entry offences shall be paid by the player within ten (10) days after the notice thereof is provided. Unpaid fines shall also be collected at other Men's ITF World Tennis Tour Tournaments for remission to the ITF.

#### F. FALSIFYING OFFICIAL DOCUMENTATION

Should a player submit documentation that the ITF deems to be false evidence, including, but not limited to, documents pertaining to Tournament entry and withdrawal and Code of Conduct appeals, the player shall be subject to a fine of up to \$500 in addition to any other fines provided in the Code.

In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this section shall also constitute the Major Offence of "Aggravated Behaviour".

## G. APPEALS

Any player found to have Committed an Entry Offence may, after paying all fines as above provided, appeal the offence and/or any fine imposed to the ITF Internal Adjudication Panel, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the ITF Internal Adjudication Panel's decision). The appeal shall be made in writing, using the official form prescribed by the ITF and must be filed with the ITF by 17:00 (5.00 pm) GMT within fourteen (14) days from the date the player is notified of the violation (the "Notice of Appeal"). The Notice of Appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit.

Please note that the appeals made after fourteen (14) days following the notification to a player of an Entry Offence, may not be appealed.

The use of a "withdrawal amnesty" may not be appealed.

Under extraordinary circumstances, which must be substantiated by documentation and agreed by the ITF, a player may appeal a Late Withdrawal or No Show fine to the ITF Internal Adjudication Panel in advance of payment or without payment.

## ARTICLE III: WOMEN'S ENTRY OFFENCES

#### A. APPLICABILITY

This Article shall only apply to Women's ITF World Tennis Tour Tournaments.

#### B. ENTRY OFFENCES

## 1. Late Withdrawal/ No Show

Any withdrawal from a player accepted into the Main Draw or Qualifying of Singles or Advance Entry for Doubles of a Women's ITF World Tennis Tour Tournament for any reason after the Withdrawal Deadline shall be considered a Late Withdrawal and will be penalised, except in the following circumstances:

- a player who is on the Qualifying Acceptance List but who is still playing in another Women's ITF World Tennis Tour Tournament, ITF World Tennis Tour Junior tournament, or WTA tournament at the time of the Qualifying Sign-In Deadline, provided the player withdraws before the Qualifying Sign-In closes;
- b) a player who is on the Qualifying Acceptance List but who is still playing in another "qualified" Women's ITF World Tennis Tour Tournament or WTA tournament and who applies for a Special Exempt position, but where such Special Exempt position is not available for the player;
- a player who qualifies for entry or receives a Wild Card into the Qualifying or Main Draw of a Grand Slam Tournaments, provided the player withdraws from the ITF Tournament before the Qualifying Sign-In Deadline;
- d) a player who is nominated to represent her country in an ITF Team Competition, provided the player withdraws before the Qualifying Sign-In Deadline.

The provisions of **Article III, Rule B.4 Playing Another Event / One Tournament Per Week** apply.

Players who meet one of the exceptions for Late Withdrawal offences written above should email womens@itftennis.com and provide them the appropriate documentation so the Late Withdrawal penalty can be removed from the player's Code of Conduct record.

Players who have been subject to Automatic Withdrawals will not be fined.

A player's first three (3) Late Withdrawal Singles offences and first three (3) Late Withdrawal Doubles offences with a calendar year are automatically excused and the fines cancelled with a "withdrawal amnesty", provided the withdrawal is submitted online through a player's IPIN account:

- (iii) For Singles, prior to the Qualifying Sign-In Deadline ("Singles Amnesty"); and
- (iv) For Doubles, prior to the Doubles On-site Sign-in Deadline ("Doubles Amnesty").

The excused Withdrawal is valid for two consecutive Tournament weeks provided the player withdraws, as above.

If a player withdraws from both Singles and Doubles at the same Tournament, the player may use a Singles Amnesty to withdraw herself from both the Singles and Doubles event of that Tournament. A Doubles Amnesty may only be used for Doubles withdrawals and not Singles withdrawals.

Violation of this Section shall subject a player to a fine up to \$1,000 as further set out in the Fines Guidelines.

## 2. No Show

A player will have committed a No Show Offence if:

- a) She is accepted into the Qualifying and fails to Sign-In by the Qualifying Sign-In Deadline (in accordance with Section V.K Sign-In of the Women's ITF World Tennis Tour regulations), without validly withdrawing from the Tournament in advance of the Qualifying Sign-In Deadline (as set out in Article III.B.1 above); or
- b) She is accepted into the Main Draw or has a Wild Card (Main Draw or Qualifying) and fails to arrive on-site for her first match in the Tournament without withdrawing from the Tournament in advance of her first match (as set out in Article III.B.1 above).
- c) A player or Doubles team accepted into the Doubles Main Draw (Advance Entry or On-Site Entry) fails to arrive on site for the team's first match in the Tournament without withdrawing from the Tournament in advance of their first round match (as set out in Article III.B.1 above.

The ITF Supervisor may waive a No Show Offence for a player/team who arrives on-site after the scheduled commencement time for her/their first match, and penalise her/them for the On-Site Offence of Punctuality instead.

A player that commits a No Show violation may not use an on-site medical certificate to appeal a penalty.

Violation of this section shall result in a fine up to \$1,000 as further set out in the Fines Guideline.

In circumstances that are flagrant and particularly injurious to the success of a Women's ITF World Tennis Tour Tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour".

## 3. On-site Medical Withdrawal

- a. If a player is forced to retire or withdraw during a Tournament for medical reasons, the ITF Medical Certificate must be completed by the on-site Tournament Doctor/Sports Physiotherapist verifying that the player is unfit to continue playing in the current Tournament. Violation of this section shall subject a player to a fine of up to \$500.
- b. A player that has endured a medical condition during the Tournament Week, but who completes their Tournament matches, may obtain a Medical Certificate from the Tournament Doctor/Sports Physiotherapist that can be used to withdraw from the following week's tournament without penalty. The player must obtain the Medical Certificate within 24 hours of completing their final match.

If the player wishes to use the Medical Certificate to withdraw from the following week's tournament, then the player must inform the ITF and the ITF Supervisor (if after the Freeze deadline) no more than 48 hours after obtaining the Medical Certificate or before the Qualifying Sign-In, whichever is sooner.

Players who complete their final match of the Tournament after the Qualifying Sign-In of the following week's Tournament must obtain a Medical Certificate and inform the ITF Supervisor before leaving the site if they wish to withdraw from the following week's Tournament.

Violation of this section shall subject a player to a fine of up to \$500.

- A valid ITF Medical Certification form will excuse a Late Withdrawal penalty for any subsequent tournament until the player next competes in a Tournament, provided a withdrawal for each Tournament is submitted online through the player's IPIN account:

   (i) for Singles: prior to the relevant Qualifying Sign-In Deadline; and
   (ii) for Doubles: prior to the On-Site Doubles Sign-In Deadline.
  - The player must email the ITF Medical Certificate to the ITF Women's department (womens@itftennis.com) for each subsequent Tournament for which the player wishes to use the medical certificate.
- d. A player who withdraws from a tournament after the Qualifying Sign-In Deadline will be excused a Late Withdrawal penalty provided:
  - i. the player is still competing in the previous week's tournament at or after the time of the Qualifying Sign-In Deadline, is thereafter forced to withdraw/retire, is examined by that tournament's doctor and is determined to be unfit to compete at the following week's tournament; or
  - ii. the player is on-site at the tournament from which the player will withdraw when the withdrawal occurs and is determined to be unfit to compete by the tournament's doctor.

For the purpose of this rule, the player must withdraw before the start of her first match and the ITF Medical Certification form must be completed and submitted to the ITF no later than the last day of the tournament.

## 4. Playing Another Event / One Tournament Per Week

a. No player who has entered and been accepted into Singles Qualifying, Singles Main Draw, or Advance Entry for Doubles Main Draw of a Women's ITF World Tennis Tour Tournament after the Withdrawal Deadline shall play in any other tennis event during the period of such tournament except as stated below.

Participation by a Committed player in an alternative tournament is permitted in the following specific circumstances and provided an official withdrawal for the Tournament she was originally Committed to has been submitted prior to its Qualifying Sign-In Deadline for Singles (see section V. C. Entry and Withdrawal Deadlines and F. Freeze Deadline of the Women's ITF World Tennis Tour Regulations) or prior to the Doubles On-Site Sign-In Deadline for Doubles (see Section VI. Doubles Entries and Withdrawals):

- i. A player is nominated to represent her country in an ITF Team competition;
- **ii.** A player qualifies for entry or is nominated to receive a Wild Card into the Qualifying or Main Draw of a Grand Slam tournament;
- iii. A player accepted into Singles Qualifying of a Women's ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Main Draw of another Professional tournament;
- iv. A player accepted into Singles Qualifying, Singles Main Draw or Advance Entry Doubles Main Draw of a Women's ITF World Tennis Tour Tournament is nominated to receive a Singles Wild Card into the Qualifying or Main Draw of another Professional tournament in a higher prize money category;
- v. A player accepted into Qualifying or Main Draw of a Women's ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Main Draw or Qualifying of a Juniors ITF World Tennis Tour (Grand Slam, J500, J300) tournament.

- vi. A player accepted into a Junior ITF World Tennis Tour Tournament is nominated to receive a Wild Card into the Main Draw or Qualifying of a Women's ITF World Tennis Tour Tournament.
- vii. Both members of a Doubles team accepted as an Advance Entry Main Draw team are nominated to receive a Wild Card as a team into a higher prize money event.
- **viii.** The player who is not responsible for the late withdrawal of an Advance Entry Doubles Main Draw team may enter Doubles On-site with another partner.
- ix. If a player is awarded a Singles Wild Card and the player/team was previously accepted as an Advance Entry Doubles Main Draw team then both the player and her partner may enter On-site at the same Tournament or another Tournament with the same or different partner.
- x. A player is forced to withdraw from a qualifying event because she is still Committed to and still participating in a Tournament in the previous week's tournament but is not eligible to apply, or has been unsuccessful in applying for, a special exempt place.

A player not Committed by Advance Entry to a Doubles Main Draw may enter the Doubles event of any tournament, although the player is subject to Late Withdrawal penalties in Singles.

Violation of this Section shall subject a player to a fine up to \$500 as further set out in the Fines Guidelines, in addition to any other fines provided in the Code.

# Playing Another Event Rule amendment Singles

~All Singles players will be eligible for three opportunities to benefit from additional flexibility to play up from an ITF World Tennis Tour tournament into a WTA Tournament (inclusive of WTA 125s), without penalty ("Singles PAE Exemption"). The Singles PAE Exemption exempts the player from fines that would otherwise be imposed for late withdrawal (Article III.B.1) and for playing another event (Article III.B.4).

To qualify for a Singles PAE Exemption, a player must:

- i. withdraw from the ITF Women's World Tennis Tour Tournament in compliance with the Regulations before the ITF tournament's Singles Qualifying Sign-in Deadline;
- ii. and have played Singles WTA Tournament in the same week as the Women's ITF World Tennis Tour Tournament from which they withdrew.

## **Advance Entry in Doubles**

Teams accepted by Advance Entry into an ITF Doubles Main Draw by the Doubles Freeze Deadline will be eligible for three opportunities to play up from an ITF World Tennis Tour tournament into a WTA Tournament (inclusive of WTA 125s), without penalty ("Doubles PAE Exemption"). The Doubles PAE Exemption exempts both players in the team from fines that would otherwise be imposed for late withdrawal (Article III.B.1) and for playing another event (Article III.B.4).

To qualify for a Doubles PAE Exemption, the team must:

- i. withdraw from the ITF Women's World Tennis Tour Tournament in compliance with the Regulations before the ITF tournament's Doubles Sign-in Deadline;
- ii. and have played Doubles at the WTA tournament in the same week as the Women's ITF World Tennis Tour Tournament from which they withdrew.

- b. Participation by a player who has played in Qualifying or Main Draw of a Women's ITF World Tennis Tour Tournament in an additional tournament during the same week is permitted in the following specific circumstances.
  - i. A player is allowed to play Singles Qualifying of one Professional tournament and Doubles in another tournament played the same week, provided the player has played and been eliminated from one tournament prior to the On-Site Doubles entry deadline in the other tournament and the player is not Committed as an Advance Entry for Doubles. A player may not participate in both tournaments at the same time.
  - ii. A player who played in the Qualifying of Women's ITF World Tennis Tour Tournament is allowed to accept a Wild Card into Main Draw of ITF World Tennis Tour Junior tournament during the same week provided she lost in the Women's ITF World Tennis Tour Tournament prior to being offered the Wild Card for the ITF World Tennis Tour Junior tournament.
  - iii. A player is nominated to represent her country in an ITF Team competition, provided the player has already lost in the Women's ITF World Tennis Tour Tournament prior to being nominated;
  - iv. A player is allowed to participate in an "Other" Tournament (see section II.B. Tournaments above) during the same week, provided she:
    - a) notifies the ITF Supervisor and ITF (womens@itftennis.com) of her intention to do so before her first match in the Women's ITF World Tennis Tour Tournament; and
    - b) has played and been eliminated from the Women's ITF World Tennis Tour Tournament; and
    - c) is released by the ITF Supervisor after her last match in the Women's ITF World Tennis Tour Tournament to play in the Other Tournament. The ITF Supervisor should send an email to the ITF.

A player who was a No Show or who withdrew or retired from either Singles or Doubles Main Draw in any round of a Tournament may not participate in Singles or Doubles in any "Other" tournament during the same week.

A player is not allowed to compete in Singles in two (2) tournaments nor in Doubles in two (2) tournaments (WTA and Women's ITF World Tennis Tour) held in one week and will be withdrawn from the second tournament by the ITF (i.e. a player may not play the Qualifying of a WTA tournament, lose, and then play in a Women's ITF World Tennis Tour Tournament in the same week). If a player does play two (2) tournaments in one (1) week, upon the first occurrence the player will receive the lesser of the ranking points earned from the two (2) tournaments.

Other than in the circumstances stated above, players found to have competed in another tournament or in two Tournaments in one week (including second and any subsequent occurrences) shall be immediately defaulted and shall forfeit all prize money and computer points (where applicable).

Violation of this Section shall result in a fine up to \$1,000 in addition to any other Late Withdrawal fines provided in the Code.

In circumstances that are flagrant and particularly injurious to the success of a Women's ITF World Tennis Tour Tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour".

## C. WILD CARDS

No player or Related Person, directly or indirectly, shall offer, give, solicit, receive or accept, or agree to offer, give, solicit, receive or accept anything of value in exchange for a Wild Card. Violation of this section by a player or Related Person shall result in a fine of up to \$5,000.

In circumstances that are flagrant and particularly injurious to the success of a Women's ITF World Tennis Tour Tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour".

## D. DETERMINATION AND PENALTY

The ITF or ITF Supervisor shall make such investigation as is reasonable to determine the facts regarding all Entry Offences and upon determining that a violation has occurred shall specify the fine and/or other punishment therefore and where possible notify the player.

#### E. PAYMENT OF FINES

All fines levied for entry offences shall be paid by the player within ten (10) days after the notice thereof is provided. Unpaid fines shall also be collected at other Women's ITF World Tennis Tour Tournaments for remission to the ITF.

#### F. FALSIFYING OFFICIAL DOCUMENTATION

Should a player submit documentation that the ITF deems to be false evidence, including, but not limited to, documents pertaining to tournament entry and withdrawal and Code of Conduct appeals, the player shall be subject to a fine of up to \$1,000 in addition to any other fines provided in the Code.

In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this section shall also constitute the Major Offence of "Aggravated Behaviour".

## G. APPEALS

Any player found to have committed an Entry Offence may, after paying all fines as provided above, appeal the offence and/or any fine imposed to the ITF Internal Adjudication Panel, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the ITF Internal Adjudication Panel's decision). The appeal shall be made in writing, using the official form prescribed by the ITF and must be filed with the ITF by 17:00 (5.00pm) GMT within fourteen (14) days from the date the player is notified of the violation (the "Notice of Appeal"). The Notice of Appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit.

Please note that the appeals made after fourteen (14) days following the notification to a player of an Entry Offence, may not be appealed.

The use of a "withdrawal amnesty" may not be appealed.

Under extraordinary circumstances, which must be substantiated by documentation and agreed by the ITF, a player may appeal a Late Withdrawal or No Show fine to the ITF Internal Adjudication Panel in advance of payment or without payment

## **ARTICLE IV: ON-SITE OFFENCES**

#### A. GENERAL

Every player shall, during all matches and at all times while within the precincts of the site conduct himself/herself in a professional manner. The provisions below shall apply to each player's conduct while within the precincts of each such site, and references to the site shall include tournament hotels, transport, all tournament facilities and locations of tournament functions or activities.

## B. PUNCTUALITY

Matches shall follow each other without delay in accordance with the announced order of play. The order of play shall be posted at a highly visible place in a general players' area as designated by the ITF Supervisor.

Matches shall be called in accordance with the order of play using all available and reasonable means. Players shall be ready to play when their matches are called.

- 1. Any player not ready to play within ten (10) minutes after his match is called may be fined \$50.
- 2. Any player not ready to play within fifteen (15) minutes after his match is called may be fined up to an additional \$200 and shall be defaulted unless the ITF Supervisor in his sole discretion, after consideration of all relevant circumstances, elects not to declare a default. The player may also be subject to the additional penalties set out in Article IV, O.

This section applies only to those players who are or have been on-site or who have signed-in with the referee by telephone during the Tournament Week.

## C. DRESS AND EQUIPMENT

Every player shall dress and present himself/herself for play in a professional manner. Clean and customarily acceptable tennis attire shall be worn.

Any player who violates this Section may be ordered by the Chair Umpire or ITF Supervisor to change his/her attire or equipment immediately. Failure of a player to comply with such order may result in an immediate default. (The ITF reserves the right to interpret the following rules so as to give effect to the intent and purposes of these Regulations.

## 1. Unacceptable Attire

Sweatshirts, gym shorts, dress shirts, T-shirts or any other inappropriate attire shall not be worn during a match (including the warm-up).

## 2. Shoes

Players are required to wear tennis shoes generally accepted as proper tennis attire. Shoes shall not cause damage to the court other than what is expected during the normal course of a match or practice. Damage to a court may be considered as physical or visible, which may include a shoe that leaves mark beyond what is considered acceptable. The ITF Supervisor has the authority to determine that a shoe does not meet these criteria and may order the player to change.

## i. Grass Court Shoes

At grass court tournaments, no grass court shoes other than those with rubber soles, without heels, ribs, studs, or coverings, shall be worn by players.

Shoes with pimples or studs around the outside of the toes shall not be permitted. The foxing around the toes must be smooth.

The ITF Supervisor has the authority to determine that a tennis shoe's sole does not conform to such customs and standards and can prohibit its use at grass court tournaments.

## ii. Clay Court Shoes

Players are required to wear tennis shoes generally accepted for play on clay courts or granular surfaces. The ITF Supervisor has the authority to determine that a tennis shoe's sole does not conform to such customs and standards and can prohibit its use at clay court tournaments.

Grass court shoes shall not be worn during a match on clay courts.

#### 3. Identification

No identification shall be permitted on a player's clothing, products, or equipment on court during a match or at any press conference or tournament ceremony, except as follows:

#### a. Men's ITF World Tennis Tour Tournaments

## 1. Shirt, Sweater or Jacket

- i. Sleeves. Two (2) positions for commercial (i.e. non-manufacturer's) or manufacturer's identification for each sleeve, neither of which exceeds six (6) square inches (39 sq.cm), shall be permitted. Maximum of two (2) identifications may be placed within each six (6) square inch position. Identifications may contain writing.
- ii. Sleeveless. Two (2) positions of the manufacturer's or commercial identification, neither of which exceeds six (6) square inches (39 sq.cm) may be placed on the front or collar of the shirt. If no more than one (1) identification is placed on the front or collar of the shirt, then one (1) manufacturer identification may be placed on the back of the shirt, not to exceed four (4) square inches (26 sq. cm). Identifications may contain writing.
- iii. Front and Collar. Two (2) logos of the manufacturer's or commercial identifications, neither of which exceeds six (6) square inches (39 sq.cm) may be placed in any location on the front or on the collar. OR, one (1) manufacturer's or commercial identification which may not exceed six (6) square inches (39 sq.cm) may be placed on the front or collar. Identifications may contain writing.

Back – If only one (1) manufacturer's or commercial identification which may not exceed six (6) square inches (39 sq.cm) is placed on the front or collar and then an additional manufacturer's identification, not to exceed four (4) square inches (26 sq.cm), may be placed on the back. Identifications may contain writing.

- iv. Other. Identification of the clothing manufacturer, without the name of the manufacturer or any other writing, may be placed once or repeatedly within an area not to exceed twelve (12) square inches (77.5 sq.cm) in one of the following positions:
  - a. On each of the shirt sleeves (if a manufacturer's identification is not on the sleeves pursuant to the section i. above); or
  - b. On the outer seams (sides of torso) of the shirt.

## 2. Shorts

Two (2) manufacturer's identifications, neither of which exceeds two (2) square inches (13 sq.cm) may be placed on the front or back of the shorts; or two (2) manufacturer's identification neither of which exceeds four (4) square inches (26 sq.cm) may be placed as follows: one (1) identification on the front and one (1) identification on the back of the shorts. Identifications may contain writing.

On compression shorts and/or compression sleeves, two (2) manufacturer's identification not to exceed two (2) square inches (13 sq.cm) or one (1) manufacturer's identification which must not exceed four (4) square inches (26 sq.cm). These shall be in addition to the manufacturer's identifications permitted on shorts.

#### 3. Socks/Shoes

Manufacturer's identifications on each sock and on each shoe shall be permitted.

## 4. Racquet

Manufacturer's identifications on racquet and strings shall be permitted.

## 5. Hat, Headband, Wristband and Face Covering

One (1) manufacturer's identification and/or one (1) commercial identification, neither if which exceeds four (4) square inches (26 sq.cm), shall be permitted on hats, headbands. The commercial identification must be located on the side of the garment and worn so that it is positioned on the side of the head.

One (1) manufacturer's identification not to exceed four (4) square inches (26 sq.cm) will be permitted on wristbands or face covering.

## 6. Bags, Towels, Other Equipment or Paraphernalia

Tennis equipment manufacturer's identifications, on each item plus two (2) separate commercial identifications on one (1) bag, neither of which exceeds six (6) square inches (39sq.cm) shall be permitted.

Note: The Tecnifibre ATP line of racquet bags are allowed at ITF Men's ITF World Tennis Tour Tournaments.

## b. Women's ITF World Tennis Tour Tournaments

## 1. Shirt, Sweater or Jacket

i. Sleeves. One (1) commercial (non-manufacturer's) identification for each sleeve, neither of which exceeds four (4) square inches (26 sq.cm), plus one (1) manufacturer's identification on each sleeve, neither of which exceeds four (4) square inches (26 sq.cm) shall be permitted Identifications may contain writing.

- **ii. Sleeveless.** The two (2) commercial (non-manufacturer's) identifications permitted on the sleeves above, neither of which shall exceed four (4) square inches (26 sq.cm), may be placed on the front of the garment. Identifications may contain writing.
- iii. Front, Back and Collar. Total of two (2) manufacturer's identifications, neither of which exceeds two (2) square inches (13 sq.cm) or one (1) manufacturer's identification, which does not exceed four (4) square inches (26 sq.cm) may be placed in any of these three locations. Identifications may contain writing.
- **iv. Other.** Identification of the clothing manufacturer, without the name of the manufacturer or any other writing, may be placed once or repeatedly within an area not to exceed twelve (12) square inches (77.5 sq.cm) in one of the following positions:
  - c. On each of the shirt sleeves (if a manufacturer's identification is not on the sleeves pursuant to the section i. above); or
  - d. On the outer seams (sides of torso) of the shirt

## 2. Shorts / Skirts/ Compression Shorts / Leggings

On short and skirts, two (2) manufacturer's identifications, neither of which exceeds two (2) square inches (13 sq.cm), or one (1) manufacturer's identification which does not exceed four (4) square inches (26 sq.cm) shall be permitted.

On leggings or mid-thigh compression shorts, worn with a skirt or shorts, one (1) manufacturer's identification not to exceed two (2) square inches (13 sq.cm) and which shall be in addition to the manufacturer's identifications on shorts/skirts shall be permitted. Identifications may contain writing.

On leggings or mid-thigh compression shorts, worn without a skirt or shorts, allowed up to two (2) manufacturer's identifications, neither of which exceeds two (2) square inches (13 sq.cm), or one (1) manufacturer's identification which does not exceed four (4) square inches (26 sq.cm) shall be permitted.

Note: A dress for the purposes of permissible identification shall be treated as a combination of a skirt and a shirt (dividing dress at waist).

## 3. Socks / Shoes

Manufacturer's identifications on each sock and on each shoe shall be permitted. The identifications on the sock(s) on each foot shall be limited to a maximum of two (2) square inches (13 sq.cm). Identifications may contain writing.

## 4. Racquet

Manufacturer's identifications on racquet and strings shall be permitted.

## 5. Hat, Headband, Wristband and Face Covering

One (1) manufacturer's identification not to exceed three (3) square inches (19.5 sq.cm) shall be permitted on wristbands or face covering.

For hats, headbands one (1) manufacturer's identification on the front and one (1) commercial (non-manufacturer's) identification on the side, both of which may contain writing not to exceed three (3) square inches (19.5 sq.cm). Identifications may contain writing.

## 6. Bags, Other Equipment or Paraphernalia

Tennis equipment manufacturer's identifications, on each item plus two (2) separate commercial identifications on one (1) bag, neither of which exceeds four (4) square inches (26 sq.cm) shall be permitted.

## c. Another Tennis, Sport or Entertainment Event

Notwithstanding anything to the contrary hereinabove set forth the identification by use of the name, emblem, logo, trademark, symbol or other description of any tennis circuit, series of tennis events, tennis exhibition, tennis tournament, any other sport or entertainment event other than the ITF World Tennis Tour is prohibited on all dress or equipment, unless otherwise approved by the ITF.

## d. General

All identifications should be firmly attached at all points on the clothing or equipment.

No identification shall be permitted on player's clothing or equipment that promotes/displays betting companies, tobacco or e-cigarette products, hard liquor products, political activity or other category deemed to be detrimental to the sport of tennis, the ITF or the ITF World Tennis Tour.

In the event the utilisation of any of the foregoing permitted commercial identifications would violate any governmental regulation with respect to television, then the same shall be prohibited.

For the purposes of this rule, the manufacturer means the manufacturer of the clothing or equipment in question.

Tennis Equipment Manufacturer. The tennis equipment manufacturer is the entity that distributes, or offers for sale, tennis racquets, clothing, strings, or shoes.

Clothing Manufacturer. The clothing manufacturer is the corporate or product identification, trademarks (regardless of registration status) or other recognizable names presented in the form of a logo or mark on the clothing product in question.

Commercial Identification. Corporate or product identification other than the manufacturer of the item, including social media usernames, hashtags, and URLs.

In addition, the size limitation shall be ascertained by determining the area of the actual patch or other addition to a player's clothing without regard to the colour of the same. In determining area, depending on the shape of the patch or other addition, a circle, triangle or rectangle shall be drawn around the same and the size of the patch for the purpose of this Rule shall be the area within the circumference of the circle or the perimeter of the triangle or rectangle as the case may be.

## 4. Warm-up Clothing

Players may wear warm-up clothing during the warm-up and during a match provided it complies with the foregoing provisions and provided further that the players obtain approval of the ITF Supervisor prior to wearing warm-up clothing during a match.

## 5. Taping

No taping over of logos/patches shall be allowed.

#### 6. Fines

Any player who violates this Section and is not defaulted shall be subject to the following fines:

#### a. Unacceptable Attire

Violation of the provisions with respect to Unacceptable Attire shall result in a fine of up to \$250;

## b. Manufacturer's Identification

Violation of the provisions with respect to manufacturer's identifications shall result in a fine of up to \$250;

## c. Commercial Identification

Violation of the provisions with respect to commercial identifications shall result in a fine of up to \$250;

#### d. Another Tennis Event

Violation of the provisions with respect to the name of an event shall result in a fine of up to \$250.

## D. START OF MATCH / TIME VIOLATION / DELAY OF GAME

#### Start of match

A maximum of sixty (60) seconds shall elapse from when the last player arrives to his/her seat on court until the players are ready for the pre-match meeting with the Chair Umpire. This will be followed immediately by the warm-up period, which will last no longer than five (5) minutes. At the end of the warm-up period the players have sixty (60) seconds to be ready to start the match.

## **During play**

Following the expiration of the warm-up period play shall be continuous and a player shall not unreasonably delay a match for any cause.

A maximum of twenty-five (25) seconds shall elapse from the moment the ball goes out of play at the end of the point until the time the ball is struck for the first serve of the next point. If such serve is a fault, then the second serve must be struck by the server without delay.

When changing ends, a maximum of ninety (90) seconds shall elapse from the moment the ball goes out of play at the end of the game until the time the first serve is struck for the next game. If such first serve is a fault the second serve must be struck by the server without delay. However, after the first game of each set and during a tie-break, play shall be continuous, and the players shall change ends without a rest period.

At the conclusion of each set, regardless of the score, there shall be a set break of one hundred and twenty (120) seconds from the moment the ball goes out of play at the end of the set until the time the first serve is struck for the next set. If a set ends after an even number of games, there shall be no change of ends until after the first game of the next set.

The receiver shall play to the reasonable pace of the server and shall be ready to receive within a reasonable time of the server being ready. A Time Violation may be issued prior to the expiration of twenty-five (25) seconds if the receiver's actions are delaying the reasonable pace of the server.

The first violation of this Section, as either server or receiver, shall be penalised by a Time Violation – Warning and each subsequent violation, as either server or receiver, shall be penalised as follows:

Server The Time Violation shall result in a "fault"

Receiver The Time Violation shall result in a "point penalty"

In each case, the Chair Umpire/Supervisor may determine that an extension of time is necessary and/or decide not to impose any penalty.

When a violation is a result of a medical condition, refusal to play or not returning to the court within the allowed time a Code Violation (Delay of Game) penalty shall be assessed in accordance with the Point Penalty Schedule.

## E. AUDIBLE OBSCENITY (Aob)

Players shall not use an audible obscenity within the precinct of the tournament site. Violation of this Section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below therefore.

For the purposes of this Rule, audible obscenity is defined as the use of words commonly known and understood to be profane and uttered clearly and loudly enough to be heard by the Court Officials or spectators.

## F. VISIBLE OBSCENITY (Vob)

Players shall not make obscene gestures of any kind within the precincts of the tournament site. Violation of this Section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below.

For the purposes of this Rule, visible obscenity is defined as the making of signs by a player with his hands and/or racquet or balls that commonly have an obscene meaning.

## G. VERBAL ABUSE (VA)

Players shall not at any time directly or indirectly verbally abuse any official, opponent, sponsor, spectator, or other person within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below.

For the purposes of this Rule, verbal abuse is defined as a statement about an official, opponent, sponsor, spectator, or other person that implies dishonesty or is derogatory, insulting or otherwise abusive.

## H. PHYSICAL ABUSE (PhA)

Players shall not at any time physically abuse any official, opponent, spectator, or other person within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below.

For the purposes of this Rule, physical abuse is the unauthorised touching of an official, opponent, spectator, or other person.

## I. ABUSE OF BALLS (BA)

Players shall not violently, dangerously or with anger hit, kick, or throw a tennis ball within the precincts of the tournament site except in the reasonable pursuit of a point during a match (including the warm-up). Violation of this Section shall subject a player to a fine up to \$250 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below.

For the purposes of this Rule, abuse of balls is defined as intentionally hitting a ball out of the enclosure of the court, hitting a ball dangerously or recklessly within the court or hitting a ball with negligent disregard of the consequences.

## J. ABUSE OF RACQUETS OR EQUIPMENT (RA)

Players shall not violently or with anger hit, kick, or throw a racquet or other equipment within the precincts of the tournament site. Violation of this Section shall subject a player to a fine up to \$250 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below.

For the purposes of this Rule, abuse of racquets or equipment is defined as intentionally and violently destroying or damaging racquets or equipment or intentionally and violently hitting the net, court, umpire's chair, or other fixture during a match out of anger or frustration.

## K. COACHING AND COACHES (CC)

Players shall not receive coaching during a match. Communications of any kind, audible or visible, between a player and a coach may be construed as coaching. Players shall also prohibit their coaches (1) from using audible obscenity within the precincts of the tournament site, (2) from making obscene gestures of any kind within the precincts of the tournament site, (3) from verbally abusing any tournament staff, official, opponent, spectator or other person within the precincts of the tournament site, (4) from physically abusing any tournament staff, official, opponent, spectator or other person within the precincts of the tournament site and (5) from giving, making, issuing, authorising or endorsing any public statement within the precincts of the tournament site having,

or designed to have, an effect prejudicial or detrimental to the best interest of the tournament and/or of the officiating thereof.

Violation of this Section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below and the ITF Supervisor may order the Coach to be removed from the site of a match or the precincts of the tournament site and may declare an immediate default of such player.

For the purposes of this Rule, a "coach" shall also include any representative and/or relative of a player.

## L. UNSPORTSMANLIKE CONDUCT (UnC)

Players shall at all times conduct themselves in a sportsmanlike manner and give due regard to the authority of officials and the rights of opponents, spectators, and others. Violation of this Section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below.

For the purposes of this Rule, unsportsmanlike conduct is defined as any misconduct by a player that is clearly abusive or detrimental to the Competition, the ITF, or the sport of tennis. In addition, unsportsmanlike conduct shall include, but not be limited to, the giving, making, issuing, authorising or endorsing any public statement having, or designed to have, an effect prejudicial or detrimental to the best interests of the tournament and/or the officiating thereof.

## M. BEST EFFORTS (BE)

A player shall use his best efforts to win a match when competing in an ITF World Tennis Tour Tournament. Violation of this section shall subject a player to a fine up to \$500 for each violation.

For purposes of this Rule, the ITF Supervisor and/or the Chair Umpire shall have the authority to penalise a player in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below.

## N. LEAVING THE COURT (LC)

A player shall not leave the court area during a match (including the warm-up) without the permission of the Chair Umpire. Violation of this Section shall subject a player to a fine up to \$250 for each violation. In addition, the player may be defaulted and subjected to the additional penalties for Failure to Complete Match as below.

## O. FAILURE TO COMPLETE MATCH OR TOURNAMENT (FCM)

A player must complete a match in progress, and complete the Tournament, unless he/she is reasonably unable to do so. Violation of this Section shall subject a player to a fine up to \$750.

In circumstances that are flagrant and particularly injurious to the success of the Tournament, or are singularly egregious, a single violation of this Section shall also constitute a Major Offence of "Aggravated Behaviour" and shall be subject to the additional penalties below.

If a Doubles team fails to complete a match in progress or the Tournament, the ITF Supervisor shall have discretion over whether one or both of the team members will be sanctioned under this Article IV.O.

## P. CEREMONIES (Cer)

A player participating in the finals of an ITF World Tennis Tour Tournament must attend and participate in the final ceremonies after the match unless he/she is reasonably unable to do so. Violation of this Section shall subject a player to a fine up to \$250.

## Q. MEDIA CONFERENCE (MC)

Unless injured and physically unable to appear, a player or team must attend the post-match media conference(s) organised immediately or within thirty (30) minutes after the conclusion of each match, including walkovers, whether the player or team was the winner or loser, unless such time is extended or otherwise modified by the ITF Supervisor for good cause. Violation of this Section shall subject a player to a fine up to \$250.

## R. POINT PENALTY SCHEDULE

The Point Penalty Schedule to be used for violations set forth above is as follows:

FIRST offence WARNING
SECOND offence POINT PENALTY
THIRD and each subsequent offence GAME PENALTY

However, after the third Code Violation, the ITF Supervisor shall determine whether each subsequent offence shall constitute a default.

The imposition of a penalty under the Point Penalty Schedule shall be final and unappealable.

## S. DEFAULTS

The ITF Supervisor may declare a default for either a single violation of this Code (Immediate Default) or pursuant to the Point Penalty Schedule set out above. In all cases of default, the decision of the ITF Supervisor shall be final and unappealable.

Subject to the exceptions specified below, any player who is defaulted shall be subject to the following penalties:

- a) loss of all prize money, hospitality and points earned for that event at that tournament, and
- b) a fine of up to \$250 in addition to any or all other fines levied with respect to the offending incident, and
- c) at the ITF Supervisor's discretion, default from all other events, if any, at that tournament.

The exceptions to the additional penalties set out above are:

- **a.** the player or team was defaulted for a violation of the Punctuality or Dress and Equipment provisions set forth in Article IV. B and C, or
- **b.** the player or team was defaulted as a result of a medical condition, or

c. the player's Doubles partner committed the Code Violation which caused the default.

Default of a player from the remainder of the Tournament may include at the ITF Supervisor's discretion the removal of accreditation and denial of access to the site.

## T. DOUBLES EVENTS

## 1. Warnings/Point Penalties/Game Penalties/Defaults

Warnings, Point Penalties, Game Penalties and/or a default if assessed for violation of the Code shall be assessed against the team.

#### 2. Fines

All fines for violation of Article IV of the Code shall be assessed only against the individual member of the team who is in violation unless both members of the team are in violation.

## U. DETERMINATION AND PENALTY

The ITF Supervisor shall make such investigation as is reasonable to determine the facts regarding all On-Site Offences and upon determining that a violation has occurred shall specify the fine and/or other punishment therefore and give written notice thereof to the player. Notwithstanding anything contained herein to the contrary, the ITF Supervisor may, at his discretion, limit the fines levied during any tournament qualifying event to a maximum of \$100 for each violation.

## V. PAYMENT OF FINES

Each ITF World Tennis Tour Tournament shall deduct fines levied by the ITF Supervisor for on-site offences from the player's winnings, if any, and promptly pay the same to the ITF. Any unpaid fines shall also be collected at other ITF World Tennis Tour Tournaments for remission to the ITF.

A player who has outstanding fines in the amount of \$500 or greater or has any fines at the end of the calendar year shall not be allowed to enter any ITF World Tennis Tour Tournaments in the following calendar year until such time as all fines have been paid.

## W. APPEALS

Any player found to have committed an On-Site Offence may, after paying all fines as provided above, appeal the determination of a violation and/or the punishment imposed under section U. above (but not any point penalties or defaults) to the ITF Internal Adjudication Panel, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the ITF Internal Adjudication Panel's decision). The appeal shall be made in writing, using the form prescribed by the ITF and must be filed with the ITF by 17:00 (5.00pm) GMT within fourteen (14) days from the date the player is notified of the violation. The Notice of Appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit.

#### ARTICLE V: TENNIS ANTI-CORRUPTION PROGRAM

The Tennis Anti-Corruption Program applies to the ITF World Tennis Tour, and any player or other covered person (as defined in the Tennis Anti-Corruption Program) who enters of participates in the ITF World Tennis Tour shall be deemed to have agreed to be bound by and to comply with all of its provisions. The Tennis Anti-Corruption Program may be downloaded at www.itia.tennis.

## ARTICLE V-1: COVID-19 PROTOCOLS (COVERED PERSON)

## A. MINIMUM STANDARDS OF BEHAVIOUR

A Covered Person shall comply with the *ITF Return to International Tennis Protocols* including without limitation the *Minimum Standards of Behaviour* (the '**Protocols'**). Any failure to comply with the Protocols shall amount to a violation of this Article V-1. Violation of this Article V-1 may result in the following sanctions:

- (i) For a player: a fine of up to \$500, and/ or an Immediate Default under Article IV.S (Defaults). If such violation occurs during a match (including the warm-up), the player shall be penalised in accordance with the Point Penalty Schedule at Article IV.R. One violation of this Article V-1.A that is flagrant and particularly injurious to the success of the tournament, or is singularly egregious, or a series of two (2) or more violations of this Article V-1.A within a twelve (12) month period which when viewed together establish a pattern of conduct that is collectively egregious and is detrimental or injurious to the tournament may also constitute the Major Offence of "Aggravated Behaviour".
- (ii) For a Related Person or any other Covered Person: immediate revocation of accreditation and denial of access to the tournament. One or more violations which are singularly or collectively flagrant or egregious or detrimental or injurious to the tournament may also result in permanent revocation of accreditation and denial of access to all ITF tournaments, competitions and events as determined by the ITF.

#### B. DETERMINATION AND PENALTY

The ITF Supervisor shall make such investigation as is reasonable to determine the facts regarding an alleged violation of Article V.1.A. Upon determining that a violation has occurred, the ITF Supervisor shall specify the fine and/or other sanction(s) to be imposed and give written notice thereof to the Covered Person. The ITF Supervisor shall not revoke a Covered Person's entry and/or access to an ITF World Tennis Tour tournament without first consulting the ITF Executive Director save where the immediate revocation of entry and/or access to a tournament is, in the reasonable opinion of the ITF Supervisor, necessary to preserve the health and safety of other Covered Person.

#### C. PAYMENT OF FINES

Each ITF World Tennis Tour Tournament shall deduct fines levied by the ITF Supervisor for violations of this Article V-1 from a player's winnings, if any, and promptly pay the same to the ITF. Any unpaid fines by any Player shall also be collected at other ITF tournaments for remission to the ITF.

## D. APPEAL

Any Covered Person found to have committed an offence under Article V.1.A may, after paying all fines as provided above, appeal the determination of a violation and/or the punishment imposed under section A. above (but not any Immediate Default issued to a player) to the ITF Internal Adjudication Panel, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the ITF Internal Adjudication Panel's decision). The appeal shall be made in writing, using the form prescribed by the ITF and must be filed with the ITF by 17:00 (5.00pm) GMT within fourteen (14) days from the date the Covered Person is notified of the violation. The Notice of Appeal must include a statement by the Covered Person as to the facts and circumstances of the incident along with any other evidence the Covered Person wishes to submit.

## **ARTICLE VI: MAJOR OFFENCES**

#### A. AGGRAVATED BEHAVIOUR

No player or Related Person at any ITF World Tennis Tour Tournament shall engage in "Aggravated Behaviour" which is defined as follows:

- 1. One or more incidents of behaviour designated in this Code as constituting "Aggravated Behaviour";
- 2. One incident of behaviour that is flagrant and particularly injurious to the success of an ITF World Tennis Tour Tournament, or is singularly egregious;
- 3. A series of two (2) or more violations of this Code within a twelve (12) month period which singularly do not constitute "Aggravated Behaviour", but when viewed together establish a pattern of conduct that is collectively egregious and is detrimental or injurious to the ITF World Tennis Tour Tournaments.

In addition, any player or Related Person who, directly or indirectly, offers or provides or receives any money, benefit or consideration to or from any other Covered Person or third party in exchange for access and/or accreditation to the tournament site shall be deemed to have engaged in Aggravated Behaviour and be in violation of this Section.

Violation of this Section by a player, directly or indirectly through a Related Person or others, shall subject a player to a fine of up to \$5,000 or the amount of prize money won at the tournament, whichever is greater, and a maximum penalty of permanent suspension from play in any ITF Tournament, event or ITF World Tennis Tour.

Violation of this Section shall subject a Related Person to a maximum penalty of permanent revocation of accreditation and denial of access to any the ITF World Tennis Tour, ITF Tournament, event, or circuit.

## B. CONDUCT CONTRARY TO THE INTEGRITY OF THE GAME

No player or Related Person shall engage in conduct contrary to the integrity of the Game of Tennis. If a player is convicted of the violation of a criminal or civil law of any country, the player may be deemed by virtue of such conviction to have engaged in conduct contrary to the integrity of the Game of Tennis and the ITF Executive Director may provisionally suspend such player from further participation in ITF World Tennis Tour Tournaments pending a final determination in Section C. In addition, if a player has at any time behaved in a manner severely damaging to the reputation of the sport, the player may be deemed by virtue of such behaviour to have engaged in conduct contrary to the integrity of the Game of Tennis and be in violation of this Section. Violation of this Section by a player, directly or indirectly through a Related Person or others, shall subject a player to a fine up to \$5,000 and/or to a maximum penalty of permanent suspension from play in any ITF World Tennis Tour Tournament, ITF Tournament, event or circuit.

Violation of this Section shall subject a Related Person to a maximum penalty of permanent revocation of accreditation and denial of access to any ITF World Tennis Tour Tournament, ITF Tournament, event, or circuit.

## C. DETERMINATION AND PENALTY

The ITF will investigate all facts concerning any alleged Major Offence. All Players and Related Persons must cooperate fully with such investigations. The ITF may make a written demand to a Player or Related Person (a "Demand") to furnish to the ITF any information that may evidence or lead to the discovery of evidence of a Major Offence, including (without limitation) requiring the Player or other Related Person to attend an interview and/or to provide a written statement setting forth his/her knowledge of the relevant facts and circumstances. The Player or Related Person must furnish such information within seven business days of the making of such Demand, or within such other deadline as may be specified by the ITF.

Where, as the result of an investigation under this Article VI.C, the ITF forms the view that a Player or Related Person has a case to answer for commission of a Major Offence, the ITF shall refer the matter to the Review Board.

#### **Review Board**

The ITF shall identify one or more individuals who are independent of the ITF and who have the expertise required by the nature of the particular case to form the Review Board and to review the evidence to determine whether there is a case to answer. The ITF shall send the entire dossier of evidence to the Review Board member(s). Where necessary, the Review Board may request that the ITF provide additional information for the Review Board's consideration. There shall be no obligation for the Review Board to meet in person to deliberate. However, any decision by the Review Board that the Player or other Person has a case to answer must be unanimous.

Where the Review Board concludes that there is no case to answer, then the ITF shall notify the Player or Related Person and any other party with a right of appeal, and (subject to the rights of appeal) the matter shall not proceed any further.

When the Review Board determines that a Player or Related Person has a case to answer, the ITF will send a written notice to the Player or Related Person (the "Notice of Charge"), with a copy to the Chairman of the Independent Tribunal, setting out:

- (a) the Major Offence(s) alleged to have been committed, a summary of the facts upon which such allegations are based;
- (b) the potential consequences applicable if it is determined that the alleged Major Offence has been committed; and
- (c) the Player or Related Person's entitlement to respond to the Notice of Charge in one of the following ways:
  - (i) to admit the Major Offence(s) charged, and accede to the consequences specified in the Notice of Charge;
  - (ii) to admit the Major Offence(s) charged, but to dispute and/or seek to mitigate the consequences specified in the Notice of Charge, and to have the Independent Tribunal determine the consequences at a hearing; or
  - (iii) to deny the Major Offence(s) charged, and to have the Independent Tribunal determine the charge and (if the charge is upheld) any consequences, at a hearing.
- (d) if the Player or Related Person wishes to exercise his/her right to a hearing before the Independent Tribunal, he/she must submit a written request for such a hearing so that it is received by the ITF as soon as possible, but in any event within 10 days of the Player or Related Person's receipt of the Notice. The request must also state how the Player or Related Person responds to the charge in the Notice and must explain (in summary form) the basis for such response. In the event no such response is received by that deadline, the Player or Related Person will be deemed to have admitted the Major Offence(s) charged, and to have acceded to the consequences specified in the Notice of Charge.

In the event that the ITF withdraws the Notice of Charge, or the Player or Related Person admits the Major Offence(s) charged and accedes to the consequences specified by the ITF (or is deemed to have done so), a hearing before the Independent Tribunal shall not be required. Instead the ITF shall promptly issue a decision confirming (as applicable) its withdrawal of the Notice of Charge or the commission of the Major Offence(s) and the imposition of the specified consequences, and shall send a copy of the decision to the Player or Related Person.

## **Provisional Suspension**

At the time, afterwards, or (exceptionally) before, it issues a Notice of Charge, the ITF may impose a Provisional Suspension on the Player or Related Person in question pending determination of the charge(s), where it considers it necessary to protect the integrity and/or reputation of the Competition, the ITF, and/or the sport of tennis.

Where a Provisional Suspension is imposed, the ITF shall notify the Player or Related Person of his/her right:

- (a) at his/her election, to make an application to the Chairman of the Independent Tribunal convened to hear his/her case, either immediately or at any time prior to the full hearing, for an order that the Provisional Suspension should not be imposed (or, if the Provisional Suspension has been imposed, that it should be vacated). The Chairman of the Independent Tribunal, sitting alone, will rule on the application as soon as reasonably practicable; and
- (b) to have the proceedings before the Independent Tribunal expedited so that the hearing is held, and the charge against him/her is determined, as soon as possible, consistent with the requirements of due process.

In circumstances where the ITF decides not to impose a Provisional Suspension, the Player or Related Person shall be offered the opportunity to accept a voluntary Provisional Suspension pending the resolution of the matter. If the Player or Related Person wishes to accept the offer (and receive credit against any period of suspension that might be imposed), the Player or Related Person must communicate his/her acceptance in writing to the ITF, in a form acceptable to the ITF.

No admission shall be inferred, or other adverse inference drawn, from the decision of a Player or Related Person (a) not to make an application to avoid (or to vacate) a Provisional Suspension, or (b) to accept a voluntary Provisional Suspension.

A Player or Related Person may not, during the period of any Provisional Suspension, play, coach or otherwise participate in any capacity in any ITF World Tennis Tour Tournament, ITF Tournament, event, or circuit owned or sanctioned by the ITF.

Any period of Provisional Suspension served by the Player or Related Person (whether imposed or voluntarily accepted in writing, in a form acceptable to the ITF) shall be credited against any period of suspension imposed by the Independent Tribunal, provided that the Player or Related Person must have respected the terms of the Provisional Suspension in full. No credit against a period of suspension shall be given for any time period before the effective date of the Provisional Suspension (whether imposed or voluntarily accepted in writing, in a form acceptable to the ITF), regardless of the Player's or Related Person's status or lack of participation during such period. If a period of suspension is served pursuant to a decision that is subsequently appealed, then the Player or Related Person shall receive a credit for such period of Provisional Suspension served against any period of suspension that may ultimately be imposed on appeal.

## Hearing

If the Player or Related Person charged exercises his/her right to a hearing, the matter shall be referred to the Independent Tribunal and shall be resolved in accordance with the Independent Tribunal's Procedural Rules.

#### D. PAYMENT OF FINES

All fines levied by the Independent Tribunal for Major Offences shall be paid by the player to the ITF within thirty (30) days after receipt of written notice thereof. If the fine is not paid in thirty (30) days, the ITF Executive Director will instruct:

- a) the next ITF World Tennis Tour Tournament to require the player to pay the entire fine prior to playing; or
- b) withhold prize money due to the player until settlement is made; and
- c) the Player's fine will be added to the Player's unpaid fines and Article I, C. shall apply.

## E. COMMENCEMENT OF PENALTY

A suspension imposed on a Player for a Major Offence shall take effect from the later of the following, unless specified otherwise by the Independent Tribunal or the ITF when issuing the suspension:

- a) the date of notification by the ITF or Independent Tribunal;
- b) if the Player is participating in a Tournament on the date of notification, the day after he or she finishes competing in that Tournament.

The revocation of accreditation or denial of access to ITF World Tennis Tour Tournaments imposed on a Related Person shall take effect immediately upon notification.

Any breach by a player or Related Person of the terms of their penalty under this section VI shall be referred to the ITF Internal Adjudication Panel and dealt with pursuant to clause 5 of the *Procedural Rules governing an Internal Adjudication Panel convened under ITF Rules*.

## F. APPEAL

The ITF, the Player and/or the Related Person may appeal the Independent Tribunal's decision to the Court of Arbitration for Sport. The appeal proceedings shall be conducted in accordance with the CAS Code of Sports-related Arbitration, in the English language, and shall be governed by English Law.

## ARTICLE VII: MEDICAL CONTROL – ANTI-DOPING POLICY

Any Player, Player Support Personnel or other Person who enters or participates in the ITF World Tennis Tour shall be bound by and shall comply with all of the provisions of the ITIA Tennis Anti-Doping Programme.

The ITIA Tennis Anti-Doping Programme is set out in full on the ITF website (https://www.itia.tennis/anti-doping).

#### **ARTICLE VIII: TOURNAMENT OFFENCES**

## A. APPLICABILITY

This Article shall apply to each ITF World Tennis Tour Tournament and any Applicant for such an event. References in this Article to a "Tournament" shall hereafter mean an ITF Tournament or applicant for such a tournament, and where applicable refers to the legal entity (personal or

corporate) that is applying for, administering, operating or otherwise organising the ITF Tournament.

#### B. GUARANTEES

The owner(s), operator(s), sponsor(s) or agent(s) of a Tournament shall not offer, give or pay money or anything of value, nor shall such a tournament permit any other person or entity to offer, give or pay money or anything of value to a player, directly or indirectly, to influence or guarantee a player's appearance at a Tournament other than prize money and permitted amateur expenses, unless authorised to do so by the Committee. Violation of this Section shall subject the Tournament to a fine up to \$5,000 plus the amount or value of any such payment, disqualification, and loss of sanction, and/ or forfeiture of all sums, if any, previously paid to the ITF. In the event the ITF Executive Director believes that a Tournament may be violating this Section, then upon demand the Tournament must furnish or cause to be furnished to the ITF Executive Director or his agent access to and copies of all records to which it has access relating in any way to such alleged guarantee, or, in the absence of such records, an affidavit setting forth the facts in detail with respect to any transaction under question by the ITF Executive Director.

## C. WILD CARDS

No Tournament, directly or indirectly, shall offer, give, solicit, receive or accept, or agree to offer, give, solicit, receive or accept anything of value in exchange for a Wild Card. Violation of this section shall subject the Tournament to a fine of up to \$5,000, and/or forfeiture of all sums, if any, previously paid to the ITF, and/or withdrawal of its sanction.

#### D. CONDUCT CONTRARY TO THE INTEGRITY OF THE GAME

No Tournament, or any owner, promoter, or operator thereof, shall engage in conduct contrary to the integrity of the Sport.

Violation of this Section shall subject the Tournament to a fine up to \$5,000 and/or forfeiture of all sums, if any, previously paid to the ITF.

## E. ITF WORLD TENNIS TOUR RULES

No Tournament shall violate any provisions of these ITF World Tennis Tour Rules. Violation of this Section shall subject the Tournament to withdrawal of sanction, a fine up to \$5,000 and/or forfeiture of all sums, if any, previously paid to the ITF.

#### F. LATE CANCELLATION

No Tournament shall cancel less than sixty (60) days prior to the scheduled commencement of the event.

Violation of this section shall subject the Tournament to a fine of up to \$5,000, forfeiture of all sums, if any, previously paid or due to the ITF, reimbursement of unrecoverable expenses incurred and/or denial of subsequent Applications.

## G. COVID-19 PROTOCOLS

A Tournament shall comply with the *ITF Return to International Tennis Protocols,* including without limitation the *Minimum Standards of Behaviour* (the '**Protocols'**). Any failure to comply with the Protocols shall amount to a violation of this Article VIII.G. Violation of this Article VIII.G shall subject

the Tournament to a fine of up to \$5,000, forfeiture of all sums, if any, previously paid or due to the ITF, an order for reimbursement of unrecoverable expenses incurred by Covered Person, withdrawal of its sanction and/or denial of subsequent applications to host.

## H. DETERMINATION AND PENALTY

The ITF shall cause an investigation to be made of all facts concerning any alleged Tournament Offence by a Tournament and shall provide written notice of such investigation to the Tournament involved. The Tournament must cooperate fully with such investigations.

The ITF may make a written demand to a Tournament (a "Demand") to furnish to the ITF any information that may evidence or lead to the discovery of evidence of a Tournament Offence, including (without limitation) requiring the Tournament to attend an interview and/or to provide a written statement setting forth its knowledge of the relevant facts and circumstances. The Tournament must furnish such information within seven business days of the making of such Demand, or within such other deadline as may be specified by the ITF.

Where, as the result of an investigation under this Article <u>VIII.H</u>, the ITF forms the view that a Tournament has a case to answer for commission of a Tournament Offence, the ITF shall refer the matter to the Review Board.

#### **Review Board**

The ITF shall identify one or more individuals who are independent of the ITF and who have the expertise required by the nature of the particular case to form the Review Board and to review the evidence to determine whether there is a case to answer. The ITF shall send the entire dossier of evidence to the Review Board member(s). Where necessary, the Review Board may request that the ITF provide additional information for the Review Board's consideration. There shall be no obligation for the Review Board to meet in person to deliberate. However, any decision by the Review Board that the Tournament has a case to answer must be unanimous.

Where the Review Board concludes that there is no case to answer, then the ITF shall notify the Tournament and any other party with a right of appeal, and (subject to the rights of appeal) the matter shall not proceed any further.

When the Review Board determines that a Tournament has a case to answer, the ITF will send a written notice to the Tournament (the "Notice of Charge"), with a copy to the Chairman of the Independent Tribunal, setting out:

- (a) the Tournament Offence(s) alleged to have been committed, a summary of the facts upon which such allegations are based;
- (b) the potential consequences applicable if it is determined that the alleged Tournament Offence has been committed; and
- (c) the Tournament's entitlement to respond to the Notice of Charge in one of the following ways:
  - (i) to admit the Tournament Offence(s) charged, and accede to the consequences specified in the Notice of Charge;
  - (ii) to admit the Tournament Offence(s) charged, but to dispute and/or seek to mitigate the consequences specified in the Notice of Charge, and to have the Independent Tribunal determine the consequences at a hearing; or
  - (iii) to deny the Tournament Offence(s) charged, and to have the Independent Tribunal determine the charge and (if the charge is upheld) any consequences, at a hearing;

(d) if the Tournament wishes to exercise its right to a hearing before the Independent Tribunal, it must submit a written request for such a hearing so that it is received by the ITF as soon as possible, but in any event within 10 days of the Tournament's receipt of the Notice. The request must also state how the Tournament responds to the charge in the Notice and must explain (in summary form) the basis for such response. In the event no such response is received by that deadline, the Tournament will be deemed to have admitted the Tournament Offence(s) charged, and to have acceded to the consequences specified in the Notice of Charge.

In the event that the ITF withdraws the Notice of Charge, or the Tournament admits the Tournament Offence(s) charged and accedes to the consequences specified by the ITF (or is deemed to have done so), a hearing before the Independent Tribunal shall not be required. Instead the ITF shall promptly issue a decision confirming (as applicable) its withdrawal of the Notice of Charge or the commission of the Tournament Offence(s) and the imposition of the specified consequences and shall send a copy of the decision to the tournament.

## Hearing

If the tournament charged exercises its right to a hearing, the matter shall be referred to the Independent Tribunal and shall be resolved in accordance with the Independent Tribunal's Procedural Rules.

## I. PAYMENT OF FINES

All fines levied by the Independent Tribunal for Tournament Offences shall be paid by the Tournament to the ITF within thirty (30) days after receipt of written notice thereof.

## J. APPEALS

Any Tournament found to have committed a Tournament Offence may, after paying all fines as above provided, appeal the decision of the Independent Tribunal to the Court of Arbitration for Sport. The appeal proceedings shall be conducted in accordance with the CAS Code of Sports-related Arbitration, in the English language, and shall be governed by English Law.

## **ARTICLE IX: RECIPROCITY & INFORMATION SHARING**

The ITF reserves the right to ask the ITF Internal Adjudication Panel to affirm, modify, extend or reject with respect to any or all ITF World Tennis Tour Tournaments, a suspension or other sanction issued against a Covered Person (as defined in Article XIII - ITF Welfare Policy) either by or on behalf of the ITF pursuant to a conduct or disciplinary process under any ITF code or policy or by any other tennis organisation including but not limited to the Women's Tennis Association and Association of Tennis Professionals the Grand Slam Board, and each member national association or other relevant authority.

The ITF shall have the right in its absolute discretion to share information concerning any complaint against a Covered Person with and/or conduct an investigation in conjunction with any other tennis organisation or any other relevant authorities. The ITF Internal Adjudication Panel may also refer the complaint, and/or any information received during the course of investigating an allegation or prosecuting a charge to any authorities it considers appropriate in its absolute discretion. The ITF shall have the absolute discretion, where it deems appropriate, to stay its own investigation pending

the outcome of investigations being conducted by any other tennis organisations and/or any relevant authorities.

A decision by the ITF Internal Adjudication Panel to affirm, modify or reject a suspension or other sanction issued against a Covered Person may be appealed by the Covered Person to the Independent Tribunal, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the Independent Tribunal's decision).

A Player with unpaid fines of \$500 or above owing to the ITF, having been afforded sufficient time to pay the fine(s), shall not be considered in 'Good Standing' and shall be ineligible to play in ITF Team Competitions until the fine(s) have been paid.

## **ARTICLE X: INTERPRETATIONS**

Any person or entity subject to this Code may file with the ITF Internal Adjudication Panel a request for an interpretation or clarification of the Code and/or its applicability and effect on a particular event or transaction.

#### **ARTICLE XI: NOTICE**

All written communications to the ITF <u>Senior</u> Executive Director should be addressed as follows, unless notice of change is subsequently published:

ITF <u>Senior</u> Executive Director, <u>Tours and Player Pathway</u> International Tennis Federation
Bank Lane
Roehampton
London SW15 5XZ
England

Tel: (44) 20 8878 6464

## A. PLAYER

Notice that a player is being investigated pursuant to a possible Major Offence charge shall be served personally upon him. Service of any other document required by the Code shall be deemed complete if mailed to the subject player at his home address or other address designated by the player, along with a copy to any player association of which he is a member provided that the ITF <u>Senior</u> Executive Director of <u>Tours and Player Pathway</u> has notice of such membership.

## B. TOURNAMENT

Service of any document on an ITF World Tennis Tour Tournament as required by this Code shall be deemed complete if mailed to the ITF World Tennis Tour Tournament Director along with a copy to the Tournament Administrator.

## **ARTICLE XII: AMENDMENTS**

This ITF World Tennis Tour Code of Conduct may only be amended, repealed, or otherwise modified, in whole or in part, by the ITF.

## **ARTICLE XIII: WELFARE POLICY**

A "Covered Person" is bound by all sections of this Welfare Policy, and is defined as any person who:

- Receives accreditation and/or otherwise competes, coaches, officiates, works at, or otherwise participates in any tennis tournament, event or activity organised or sanctioned by the ITF;
- Holds a valid player registration to enter or compete in any ITF tennis tournament (Player);
- Is a parent, legal guardian or chaperone of a Player;
- Is a coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, therapist or any other Person supporting, working with, treating or assisting any Player participating in or preparing to participate in a tennis tournament, event or activity organised or sanctioned by the ITF;
- Attends, or is a resident or is employed at, an ITF Regional Training Centre;
- Is a member of an ITF Touring Team in any capacity;
- Is an ITF employee or ITF-appointed consultant;
- Holds an ITF or ITF-recognised Officiating or Coaching qualification or certification;
- Attends, whether by payment or otherwise, any ITF tournament, event or activity; or
- Acts as an ITF contractor or volunteer or is involved in the administration of or preparation for any of the above.

Each Covered Person is deemed, as a condition of their participation in the activities described above, to have agreed to be bound by this Policy, and to have submitted to the authority of the ITF to enforce this Policy, including any consequences for breach thereof, and to the jurisdiction of the hearing panels identified in these Regulations and in the ITF Safeguarding and Case Management Procedures to hear and determine cases and appeals brought under this Policy.

## Section A.

Criminal Conduct – illegal drugs and substances

Any Covered Person convicted of or having entered a plea of guilty or no contest to a criminal charge or indictment relating to the use, possession, distribution or intent to distribute illegal drugs or substances will be deemed to be in violation of this Section A of the ITF Welfare Policy and shall be subject to sanction in accordance with the *Procedural Rules Governing Proceedings before an Internal Adjudication Panel Convened Under ITF Rules*.

## Section B.

**Children Safeguarding Policy** 

Published separately and available in full on the ITF website at

https://www.itftennis.com/en/about-us/governance/rules-and-regulations/

## Section C.

**Adult Safeguarding Policy** 

Published separately and available in full on the ITF website at

https://www.itftennis.com/en/about-us/governance/rules-and-regulations/

## Section D.

Safeguarding and Case Management Procedures

Published separately and available in full on the ITF website at

https://www.itftennis.com/en/about-us/governance/rules-and-regulations/



## 2023 FINES GUIDELINES AND OFFENCE CODES FOR ITF WORLD TENNIS TOUR TOURNAMENTS

ENTRY OFFENCES							
Singles Withdl LATE WITHDRAWALS (14:00hrs i		Singles Withdrawals 13-6 days (14:00hrs GMT) before Monday of Main Draw week	Singles Withdrawals 6 days (14:00hrs GMT) before Monday of Main Draw week to Freeze Deadline	Singles Withdrawals after the Freeze Deadline Until the Qualifying Sign-In Deadline	Singles Withdrawals After Qualifying Sign-In Deadline	ADVANCE ENTRY DOUBLES -After Freeze to Doubles Sign-In Deadline	DOUBLES No Show/Failure to Sign-in After Doubles Sign- In Deadline
Player to notifiy and report withdrawal to:		IPIN	IPIN	ITF Office / Supervisor	Supervisor	ITF Office / Supervisor	Supervisor
	Main Draw	\$150	\$250	\$400	\$600	\$150	\$600
\$60,000, \$ 80,000 and		WM5713	WM576	WM57QS	WM57S	DM57DS	DM57S
\$100,000 (Women's only)	Qualifying event	\$75	\$100	\$150	\$200		
		WQ5713	WQ576	WQ57QS	WQ57S		
	Main Draw	\$75	\$150	\$250	\$400	\$50	\$400
\$15,000, \$25,000 & \$40,000		WM1213	WM126	WM12QS	WM12S	DM12DS	DM12S
· · · · · · · · · · · · · · · · · · ·	Qualifying event	\$50 WO4343	\$50	\$75	\$100 WO126		
NO SHOW / EAIL LIDE TO	SIGNLIN FINES	WQ1213 Offence	WQ126	WQ12QS reported by ITF Supervisor	WQ12S Fine amount		Offence code
NO SHOW / FAILURE TO SIGN-IN FINES \$60.000. \$80.000 & Main Draw		No Show			\$1,000		ZM57S
* , , * ,		Failure to Sign-In (No Show)			\$200		ZQ57AS
ψ100,000 (110mon σ c, ,	, ,	No Show	N)		\$500		ZM12S
\$15.000. \$25.000 & \$40.000 l		Failure to Sign-In (No Show	••		\$500 \$100		ZM12S ZQ12AS
	Qualitying event	Fallure to Sign-in (No Snow)			\$100		ZQIZAG
PLAYING ANOTHER EVENT (PAE)		Offences	т	a ha raported by ITE Office	Fine amount Offence code		
PLATING ANOTHER LTL	NI (FAL)	Offerices	Offences To be reported by ITF Office Fine amount Offence coo				se code
Singles							
Women's only	\$15,000, \$25,000 & \$40,000	LW Main Draw - PAE (1st offence: \$125, 2nd: \$250, 3rd: \$375, 4th: \$500)			up to \$500	WMNA	
	\$60,000, \$ 80,000 and	LW Main Draw - PAE (1st offence: \$250, 2nd: \$375, 3rd: \$500)			up to \$500	WMNA	
		LW Qualifying - PAE (1st offence: \$125, 2nd: \$250, 3rd: \$375, 4th: \$500)			up to \$500	WQNA	
Men's only		PAE (1st offence: \$125, 2nd: \$250)			up to \$250	PAE	
•	man 2,	FAE (15t OHEHOE. \$125, 21	iu. \$250)		αριο φ230		AL
Doubles	*** *** * * * * * * * * * * * * * * *						
	<u>\$25,000 &amp; \$40,000</u>	LW Main Draw - PAE (1st offence: \$75/person, 2nd: \$150/person)			up to \$150	WDNA	
Women's only \$60,000, \$ 80,000 and \$100,000		LW Main Draw - PAE (1st o	up to \$200	WD57NA			
Men's only	's only \$25,000 LW Main Draw - PAE (1st offe			ffence: \$75/person, 2nd: \$150/person)		MDNA	
PLAYER ON-SITE OFFENCES (GUIDELINES)  To be reported by ITF Supervisor			Qualifying		Main Draw		
			Maximum: \$200		Maximum: \$500, FCM-\$750		
	constitute aggravated behaviou	r not handled by Supervisor		Offence code	Guidelines		e code
Punctuality			\$25-100	Pun (10 or 15)	\$50-250	<u> </u>	0 or 15)
Audible Obscenity *, Visible Obscenity *			\$25-50	AOb, VOb	\$50-100	AOb, VOb	
Verbal Abuse *			\$50	VA	\$75-150	VA	
Physical Abuse *			\$100	PhA	\$250	PhA	
Ball Abuse, Racket Abuse			\$25	BA, RA	\$50-75	BA, RA	
Coaching & Coaches *			\$50	CC	\$150-\$250	CC	
Unsportsmanlike Conduct *			\$50	UnC	\$75-150	UnC	
Dress & Equipment			\$25-50	D&E	\$50-100	D&E	
Best Efforts, Leaving the Court			\$100	BE, LC	\$250	BE, LC FCM	
Failure to Complete Match /Tournament *			\$100	FCM	\$500-750	<del></del>	
Ceremonies, Media Conference			0 117		\$50-150	Cer, MC	
DEFAULTS  To be reported by ITF Supervisor  Default - In addition to any fine(s) for the on-court offences			Qualifying		Main Draw \$250 Def, D		
Default - In addition to any fin	e(s) for the on-court offenc	es	\$100	Def, D	\$250	De	ef, D

# Please refer to the full Code of Conduct for comprehensive details of all penalties #